

Oceanic Influences on Recent Continental Warming

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Abstract

Evidence is presented that the recent worldwide land warming has occurred largely in response to a worldwide warming of the oceans rather than as a direct response to increasing greenhouse gases (GHGs) over land. Atmospheric model simulations of the last half-century with prescribed observed ocean temperature changes, but without prescribed GHG changes, account for most of the land warming. The oceanic influence has occurred through hydrodynamic-radiative teleconnections, primarily by moistening the air over land and increasing the downward longwave radiation at the surface. The oceans may themselves have warmed from a combination of natural and anthropogenic influences.

1 Introduction

The general warming trend of near-surface temperatures since the late 19th century appears to have intensified since the mid-1970s (Stott et al. 2006; Knutson et al. 2006), and emerged unambiguously from a background of natural climate variability after about 1990 (Stott et al. 2006). Global climate models with prescribed variations of greenhouse gases (GHGs), aerosols, and solar forcing are now proving successful at capturing the global mean as well as some regional aspects of these temperature variations (Stott et al. 2006; Knutson et al. 2006; Hegerl et al. 2007).

Figure 1a illustrates the global extent of the recent observed warming as the 1991-2006 average minus the 1961-1990 average. The near-ubiquity of the warming, especially over the continents, is striking. To what degree is this directly attributable to local GHG increases? For the planet as a whole, there is little doubt that the inhibition of outgoing longwave radiation by such increases leads to radiative heating of the surface (i.e. the greenhouse effect), with the warming subsequently modified by water vapor and other feedbacks (Houghton et al. 2001). But does this also apply locally to each region in Fig. 1a? The primary conclusion of our study is that it does not. Indeed we find compelling evidence from several atmospheric general circulation model (AGCM) simulations without prescribed GHG, aerosol, and solar forcing variations (Table 1) that the continental warming in Fig. 1a is largely a response to the warming of the oceans rather than directly due to GHG increases over the continents.

2 Observational and atmospheric model data

The four most recently updated observed air and sea surface temperature (SST) datasets were combined in an unweighted average to create Fig. 1a and Table 1. While these datasets [HadCRUT3v (Brohan et al. 2006), HadISST1 (Rayner et al. 2003), National Aeronautics and Space Administration (NASA) GISTEMP Combined at 250 km resolution (Hansen et al. 2001), and National Oceanic and Atmospheric Administration (NOAA) Merged Land, Air, and SST (MLASST) (Smith and Reynolds 2005)] have overlap between the sources and methods used,

variations in the temperature variability are found, therefore we have used an unweighted blend to estimate the observed temperature variations.

Our study also makes use of several AGCM simulations generated at modeling centers around the United States. Experimental studies using AGCMs with prescribed observed SSTs are a standard method of understanding the observed atmospheric variability on timescales ranging from interannual (e.g., Lau 1997, Sardeshmukh et al. 2000, Shukla et al. 2000) to decadal (e.g., Hoerling and Kumar 2003; Seager et al. 2005) to multi-decade trends (e.g., Rodwell et al. 1999; Schneider et al. 2003; Sexton et al. 2003; Hurrell et al. 2004). This experimental design forms the basis for the several hundred multidecadal AGCM integrations with prescribed SSTs that have been performed as part of the CLIVAR International Climate of the Twentieth Century Project (Folland et al. 2002). Our study uses two sets of model integrations with specified observed SSTs and different atmospheric initial conditions available for the period 1961-2006. The first set consists of 24 simulations generated at the International Research Institute (IRI) using the European/ECHAM4.5 spectral model (Roeckner et al. 1996) at T42 horizontal resolution ($\sim 2.8^\circ$ latitude-longitude grid) and 18 vertical levels. The second set consists of 23 simulations generated at NASA using two different horizontal resolutions of the 34-level NASA Seasonal to Interannual Prediction Project (NSIPP) model; 9 on a $2^\circ \times 2.5^\circ$ grid (Schubert et al. 2004a) and 14 on a $3^\circ \times 3.75^\circ$ grid (Schubert et al. 2004b). Schubert et al. (2004b) reported that the resolution change had little impact on the model's climatology or variability, therefore we combined them into a 23 member ensemble to reduce sampling errors. An additional set of 8 NASA model simulations at the lower horizontal resolution, with both prescribed SST and CO_2 variations was also available. The CO_2 variations are as in Johns et al. (2003).

To complement these simulations, we used additional model simulations extending only up to 2000. Each was generated using prescribed observed SST and sea ice concentrations and different atmospheric initial conditions. Ten simulations were available from the Center for Ocean-Land-Atmosphere Studies (COLA) model version 2.2 at T63 resolution ($\sim 1.875^\circ$ grid) and 18 vertical levels (Kinter et al. 2004). Another ten were available from the NOAA

Geophysical Fluid Dynamics Laboratory (GFDL) AM2 model integrated at $2^\circ \times 2.5^\circ$ resolution and 24 levels (Anderson et al. 2004). Sets of 5 simulations from the National Center for Atmospheric Research (NCAR) Community Atmosphere Model version 3 (CAM3) with 26 levels were available at T42 ($\sim 2.8^\circ$ grid) and T85 ($\sim 1.4^\circ$ grid) resolutions (Hurrell et al. 2006); we simply combined these into a single 10-member set. For the near-surface temperature change, the resolution dependence was not statistically significant. An additional 10-member set of T42 and T85 simulations of the NCAR/CAM3 model was also available. These simulations had the same specified time-varying SST and sea ice, and also specified anthropogenic and natural radiative forcings as in Meehl et al. (2006). These forcings included time-varying solar irradiance and volcanic aerosols, as well as anthropogenic sulfate aerosols, tropospheric and stratospheric ozone, well-mixed GHGs (CO_2 , CH_4 , N_2O), halocarbons, and black carbon aerosols.

3 Oceanic influence on recent land warming

Figure 1b shows the mean land warming in the 24 simulations of the European/ECHAM4.5 model. It not only captures the essence of the continental warming in Fig. 1a, but even its spatial variation (Table 1). The reproducibility of the result is demonstrated in Fig. 1c, derived from a similar set of 23 simulations using the NASA/NSIPP model. This model also captures the magnitude and the pattern of the observed continental warming (Table 1).

We also examined the warming in these and three additional model simulations for a shorter period (1991-2000). The results are generally consistent with those for 1991-2006 (Table 1). While all models show a small negative bias in their mean difference between the simulated and observed land warming, none is significantly different from zero at the 5% level (Table 1). More powerfully, for each simulation Table 1 also shows the percentage of land area with simulated warming larger than the observed warming. These percentages cannot be statistically distinguished from the 50% expected for a binomial distribution if the number of independent points (degrees of freedom) of the temperature observations is less than 58. Current estimates of the degrees of freedom in global fields of decadal temperature averages range from 3 to 8 (Jones

et al. 1997). The models also replicate the larger observed land-averaged warmth of 1991-2006 ($+0.48^{\circ}\text{C}$) compared to that of 1991-2000 ($+0.38^{\circ}\text{C}$). Thus, together with Fig. 1, these results suggest that the observed continental warming in both 1991-2006 and 1991-2000 relative to 1961-1990 was consistent with oceanic forcing.

Oceanic forcing of global atmospheric variations on interannual to decadal scales (Horel and Wallace 1981; Deser and Phillips 2006) is often associated with planetary Rossby waves dispersing from a region of deep atmospheric convection and outflow at the upper tropospheric jet level (Sardeshmukh and Hoskins 1988; Ting and Sardeshmukh 1993). It is also often associated with changes in the Hadley and Walker circulations, with a tendency to warm the atmosphere in their descending branches. These dynamical mechanisms, however, inevitably induce some spatial variation in the response. In view of this, the near-uniformity of the warming in Fig. 1 is striking. A different mechanism must be dominant. Since we suspect the primary greenhouse gas, water vapor, to play a significant role in the temperature response to radiative perturbations (Schneider et al. 1999; Held and Soden 2000), we examine its behavior in the NASA/NSIPP model simulations for which we have a complete set of archived quantities.

Figure 2a shows the mean fractional change of 300 hPa humidity in the NASA/NSIPP simulations. Its spatial distribution is extremely uniform, and correlates with the surface temperature warming pattern at 0.88. The humidity changes at other tropospheric levels down to 850 hPa show similar correlations. These changes lead to increased downward surface longwave radiation at all continental locations (Fig. 2b). The spatial patterns of this downward longwave flux and surface temperature change are correlated at 0.93, which suggests that the change in the surface longwave flux is a dominant driver of the surface warming.

Another contributor to the continental surface warming is enhanced tropospheric descent associated with the atmospheric circulation response to the warm SSTs in Fig. 1a. The areas of simulated anomalous ascent and descent in the mid-troposphere (Fig. 2c) clearly reflect the wavy structures expected as part of the circulation response. Over the tropical Indian and western

Pacific oceans, the pattern of anomalous ascent and descent corresponds directly to that of increased and reduced model precipitation, and thus to a pattern of altered diabatic forcing of the global atmospheric circulation. As part of the circulation response, descent is enhanced in many continental regions, both in the Tropics and higher latitudes. Such regions would be expected to have reduced cloud cover (Bony et al. 2004), and therefore more shortwave radiation reaching the surface. Comparing the regions of enhanced continental descent (Fig. 2c) with regions of enhanced surface shortwave absorption (Fig. 2d) indeed reveals a close association between the two. Enhanced surface absorption of shortwave radiation is thus also an important contributor to the warming in several regions.

4 Direct effect of GHGs, aerosols, and solar activity

While the ocean-forced changes account for the major features of the observed continental warming in Fig. 1, some discrepancies remain, especially over eastern central Asia and western North America. An obvious possible explanation is the neglect of the direct radiative effects (as opposed to the indirect effects through oceanic warming) of the observed time-varying GHGs and aerosols in the simulations (Fig. 1b, 1c). How large are these direct effects? Figure 3 provides one possible answer. In it, we compare the lower-resolution NASA/NSIPP simulations using only prescribed observed SSTs with simulations that also include the direct effects of time-varying CO₂ (Fig. 3a and 3c). The direct effects of CO₂ on continental warming, at least in this particular model, are much smaller than the ocean-forced indirect effect. (This is not to say that the CO₂ variations have no direct effect in these simulations; there is a significant cooling of the model's stratosphere, consistent with previous results (Sexton et al. 2003)).

We have also compared NCAR/CAM3 simulations of 1991-2000 with prescribed SST variations to simulations in which the time-varying natural and anthropogenic IPCC radiative forcings were also included (Fig. 3b, 3d). Including the forcings only slightly reduces the error in the northern high latitudes and southeastern United States in Fig. 3b compared to observations (The observed 1991-2000 temperature difference from 1961-1990 is very similar to Fig. 1a, with a pattern correlation of 0.96). This suggests that the direct effects of the combined radiative forcings, and

not just those of CO₂, have contributed to the recent warming in these regions. Still, the fact that fully coupled climate models with observed anthropogenic and natural radiative forcings also have similar errors to ours in these regions in the recent period (Stott et al. 2006; Knutson et al. 2006) suggests that in the prescribed-SST simulations presented here neither the neglect of these forcings, nor that of ocean-atmosphere coupling is a major cause of the model discrepancies in Fig. 1.

Given the substantial oceanic influence on land warming found here, it is relevant to consider whether our diagnostic method is justified, i.e., whether the oceanic influence on land warming can be diagnosed through prescribed-SST simulations. The success of many previous diagnostic studies of atmospheric variations through such simulations is encouraging in this regard, and is basically due to the fact that a substantial portion of the coupling effect is already implicit in the prescribed observed SSTs. To see what error can arise from not fully accounting for the coupling, consider the following set of linear anomaly equations for the coupled ocean-atmosphere system :

$$\begin{aligned}\frac{d\mathbf{y}}{dt} &= \mathbf{L}_{yy}\mathbf{y} + \mathbf{L}_{yx}\mathbf{x} + \mathbf{B}_y\boldsymbol{\eta}_y + \mathbf{F}_y \\ \frac{d\mathbf{x}}{dt} &= \mathbf{L}_{xy}\mathbf{y} + \mathbf{L}_{xx}\mathbf{x} + \mathbf{B}_x\boldsymbol{\eta}_x + \mathbf{F}_x\end{aligned}\tag{1}$$

where \mathbf{y} represents the complete atmospheric state vector and \mathbf{x} represents the SST state vector. The matrices $\mathbf{L}_{\alpha\beta}$ represent interactions between and among the atmospheric and oceanic variables, and the vectors $\mathbf{B}_\alpha\boldsymbol{\eta}_\alpha$ and \mathbf{F}_α represent the stochastic and radiative forcings, respectively, of those variables. On long time scales, the ensemble mean atmospheric anomaly is given approximately by

$$\begin{aligned}\langle\mathbf{y}\rangle &\approx -\mathbf{L}_{yy}^{-1}\left\{\mathbf{L}_{yx}\langle\mathbf{x}\rangle + \mathbf{B}_y\langle\boldsymbol{\eta}_y\rangle + \mathbf{F}_y\right\} \\ &\approx -\left[\mathbf{L}_{yy}^{-1}\mathbf{L}_{yx}\right]\langle\mathbf{x}\rangle - \mathbf{L}_{yy}^{-1}\mathbf{F}_y \\ &\approx \mathbf{G}\langle\mathbf{x}\rangle - \mathbf{L}_{yy}^{-1}\mathbf{F}_y\end{aligned}\tag{2}$$

where $\langle \bullet \rangle$ indicates an ensemble average. Note that the ensemble average of the stochastic forcing $\langle \boldsymbol{\eta}_y \rangle$ is zero.

What error is made by integrating the atmospheric model \mathbf{y} with prescribed observed variations of \mathbf{x} from the fully coupled system? The equation for the atmospheric evolution is now

$$\frac{d\hat{\mathbf{y}}}{dt} = \mathbf{L}_{yy}\hat{\mathbf{y}} + \mathbf{L}_{yx}\mathbf{x} + \mathbf{B}_y\hat{\boldsymbol{\eta}}_y + \mathbf{F}_y. \quad (3)$$

The only difference between this equation and the atmospheric equation in the coupled system (1) is that the stochastic noise is different (although its statistics are the same). In general, the individual sample paths of $\hat{\mathbf{y}}$ will differ from those of \mathbf{y} because the sample paths of the stochastic noise differ, i.e. $\hat{\boldsymbol{\eta}}_y \neq \boldsymbol{\eta}_y$. The question is whether the *statistics* of $\hat{\mathbf{y}}$ will also differ from those of \mathbf{y} , even though the amplitudes \mathbf{B}_y of $\hat{\boldsymbol{\eta}}_y$ and $\boldsymbol{\eta}_y$ are the same. One can see that in general the variance and covariance statistics of $\hat{\mathbf{y}}$ will differ from those of \mathbf{y} because on long timescales \mathbf{x} and $\boldsymbol{\eta}_y$ are correlated in the coupled system (1), but not in the prescribed-SST system (3) *by prescription*. However, the ensemble mean response $\langle \hat{\mathbf{y}} \rangle$ will still be the same, because just as in the coupled system (2), on long time scales,

$$\begin{aligned} \langle \hat{\mathbf{y}} \rangle &\approx -\mathbf{L}_{yy}^{-1} \left\{ \mathbf{L}_{yx} \langle \mathbf{x} \rangle + \mathbf{B}_y \langle \hat{\boldsymbol{\eta}}_y \rangle + \mathbf{F}_y \right\} \\ &\approx -\left[\mathbf{L}_{yy}^{-1} \mathbf{L}_{yx} \right] \langle \mathbf{x} \rangle - \mathbf{L}_{yy}^{-1} \mathbf{F}_y \\ &\approx \mathbf{G} \langle \mathbf{x} \rangle - \mathbf{L}_{yy}^{-1} \mathbf{F}_y \end{aligned} \quad (4)$$

since the ensemble mean of the stochastic forcing $\langle \hat{\boldsymbol{\eta}}_y \rangle$ is again zero. Therefore, to the extent that the anomaly equations for the coupled system can be approximated as linear equations with stochastic and external forcings, one can expect the ensemble mean atmospheric responses to prescribed SSTs with and without additional radiative forcings to be consistent with those in the coupled system. There is substantial evidence that the coupled atmosphere-ocean system does behave linearly on interannual to decadal timescales in response to both radiative (Meehl et al. 2004; Cash et al. 2005; Knutson et al. 2006 and references therein) and stochastic (Penland and Sardeshmukh 1995; Thompson and Battisti 2001) forcings. The linearity of the responses to SST

forcings (especially tropical SST forcing) has also been demonstrated (Barsugli and Sardeshmukh 2002; Schneider et al. 2003; Barsugli et al. 2006).

5 Discussion and conclusions

In summary, our results emphasize the significant role of remote oceanic influences, rather than the direct local effect of anthropogenic radiative forcings, in the recent continental warming. They suggest that the recent oceanic warming has caused the continents to warm through a different set of mechanisms than usually identified with the global impacts of SST changes. It has increased the humidity of the atmosphere, altered the atmospheric vertical motion and associated cloud fields, and perturbed the longwave and shortwave radiative fluxes at the continental surface. While continuous global measurements of most of these changes are not available through the 1961-2006 period, some humidity observations are available and do show upward trends over the continents, such as. near surface observations (Dai 2006) and satellite radiance measurements sensitive to upper tropospheric moisture (Soden et al. 2005).

Although not a focus of this study, the degree to which the oceans themselves have recently warmed due to increased GHG, other anthropogenic, and natural solar and volcanic forcings or internal climate variations is a matter of active investigation (Stott et al. 2006; Knutson et al. 2006; Pierce et al. 2006). Reliable assessments of these contributing factors depend critically on reliable estimations of natural climate variability, either from the observational record or from fully coupled climate model simulations without anthropogenic forcings. Several recent studies suggest that the observed SST variability may be misrepresented in the coupled models used in preparing the IPCC's Fourth Assessment Report, with substantial errors on annual (Shukla et al. 2006) and decadal scales (e.g., DelSole, 2006; Newman 2007). There is a hint of an underestimation of simulated decadal SST variability even in the IPCC Report (Hegerl et al. 2007, FAQ9.2 Figure 1). Given these and other misrepresentations of natural oceanic variability on decadal scales (e.g., Zhang and McPhaden 2006), a role for natural causes of at least some of the recent oceanic warming should not be ruled out.

Regardless of whether or not the recent oceanic warming has occurred from anthropogenic or natural influences, our study highlights its importance in accounting for the recent observed continental warming. Perhaps the most important conclusion to be drawn from our analysis is that the recent acceleration of global warming may not be occurring in quite the manner one might have imagined. The indirect and substantial role of the oceans in causing the recent continental warming emphasizes the need to generate reliable projections of ocean temperature changes over the next century in order to generate more reliable projections of not just global mean temperature and precipitation (Barsugli et al. 2006), but also regional climate changes.

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Figure 1. (a) Observed and (b,c) simulated recent surface air temperature change shown as the 1991-2006 average minus the 1961-1990 average. (b) Mean change in 24 ECHAM4.5 simulations forced with observed SSTs. (c) As in (b) but using 23 NASA/NSIPP simulations. Annual averages were calculated from July to June. Years indicate the June of the average. In all panels, yellows and reds indicate positive values while blues indicate negative values. All panels have been lightly smoothed to total spherical wavenumber 21 to emphasize regional features.

Figure 2. Simulated ensemble mean changes as in Fig. 1c using the NASA/NSIPP simulations. (a) Percent change in ensemble-mean 300 hPa specific humidity. (b) Change in the downward surface longwave radiative flux. (c) Change in the 500 hPa pressure vertical velocity. Negative values indicate upward motion. (d) Change in the absorbed surface shortwave radiative flux. Coloring and smoothing are as in Fig. 1.

Figure 3. Simulated mean change in surface temperature, comparing runs with only prescribed SSTs to those with additional natural and anthropogenic forcings. (a,c) as in Fig. 1c using (a) the 14 NASA/NSIPP low-resolution simulations forced by observed SSTs and (c) the 8 simulations forced additionally with time-varying CO₂. (b,d) Mean change in the 1991-2000 average minus the 1961-1990 average using 10 NCAR/CAM3 simulations with prescribed (b) observed SSTs and sea ice, and (d) additional anthropogenic and natural forcings. Coloring and smoothing are as in Fig. 1.

Table 1. Comparison of observed and simulated continental near surface temperature change relative to 1961-1990. *N* is the number of ensemble members. *Correlation* shows the pattern correlation (congruence) between the observed and simulated temperature change fields. *Bias* is the average difference between the simulated and observed continental fields. The observed global continental average anomaly is 0.48°C for 1991-2006 and 0.38°C for 1991-2000. The *Percentage Larger* is the continental areal coverage of simulated local temperature changes that are larger than observed. An asterisk (*) indicates that the statistic is significant at or above the 5% level assuming 8 spatial degrees of freedom.

1991-2006

	N	Correlation	Bias	Percentage Larger than Observed
<i>Forced with SSTs</i>				
European ECHAM4.5	24	0.74*	-0.05	47%
NASA/NSIPP	23	0.79*	-0.07	41%
NASA/NSIPP with CO ₂	8	0.76*	-0.08	38%

1991-2000

<i>Forced with SSTs</i>				
European ECHAM4.5	24	0.62*	-0.06	47%
NASA/NSIPP	23	0.71*	-0.06	46%
NASA/NSIPP with CO ₂	8	0.65*	-0.07	45%
<i>Forced with SSTs and Sea Ice</i>				
COLA v2.2	10	0.66*	-0.05	43%
GFDL/AM2	10	0.60	-0.10	41%
NCAR/CAM3	10	0.65*	-0.10	40%
NCAR/CAM3 (IPCC)	10	0.79*	0.01	47%

Most Recent Surface Temperature Change (1991-2006 minus 1961-1990)

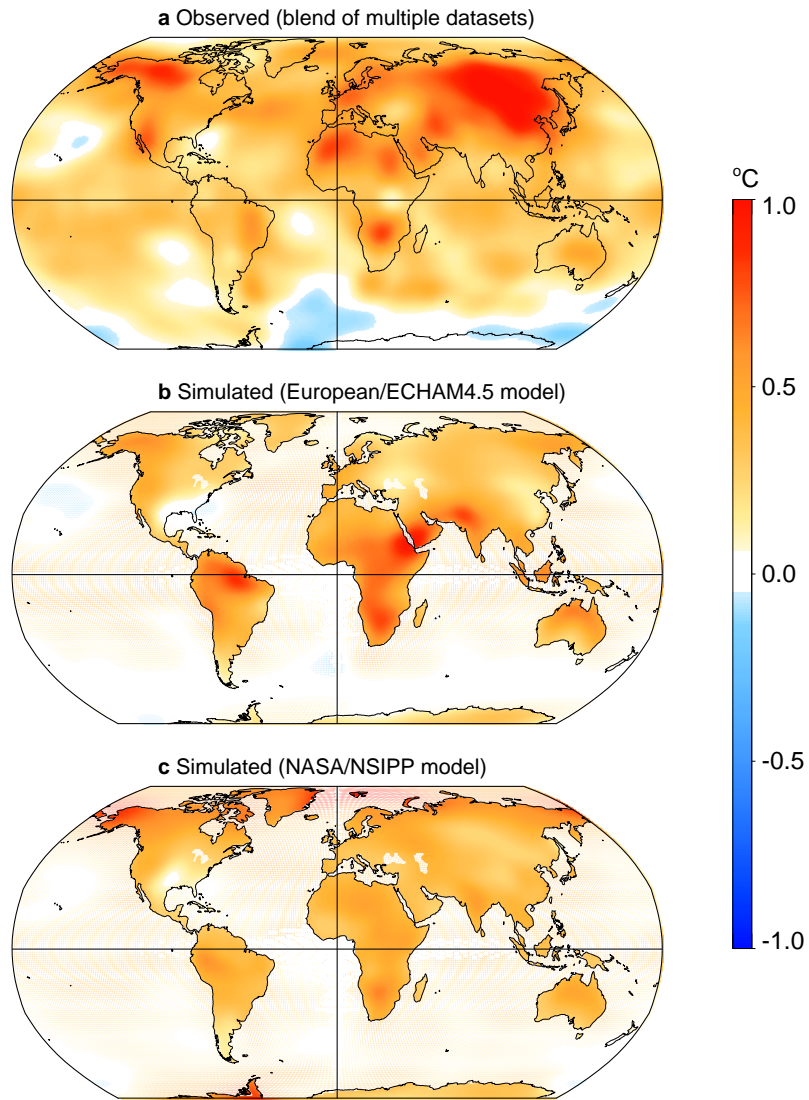


Figure 1. (a) Observed and (b,c) simulated recent surface air temperature change shown as the 1991-2006 average minus the 1961-1990 average. (b) Mean change in 24 ECHAM4.5 simulations forced with observed SSTs. (c) As in (b) but using 23 NASA/NSIPP simulations. Annual averages were calculated from July to June. Years indicate the June of the average. In all panels, yellows and reds indicate positive values while blues indicate negative values. All panels have been lightly smoothed to total spherical wavenumber 21 to emphasize regional features.

Simulated Changes (1991-2006 minus 1961-1990)

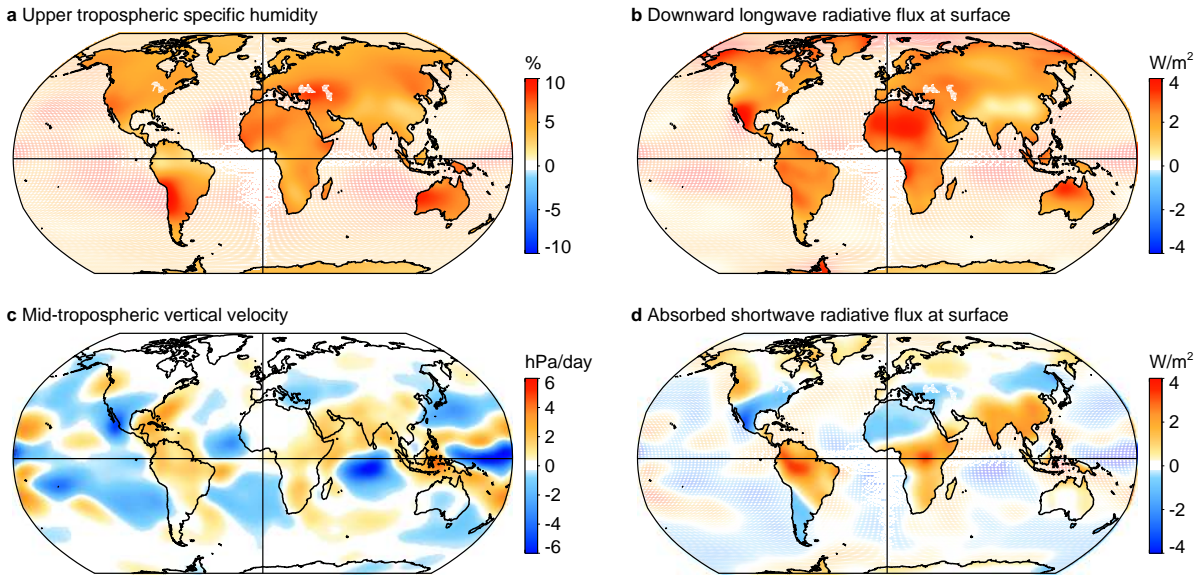


Figure 2. Simulated ensemble mean changes as in Fig. 1c using the NASA/NSIPP simulations. (a) Percent change in ensemble-mean 300 hPa specific humidity. (b) Change in the downward surface longwave radiative flux. (c) Change in the 500 hPa pressure vertical velocity. Negative values indicate upward motion. (d) Change in the absorbed surface shortwave radiative flux. Coloring and smoothing are as in Fig. 1.

Simulated Surface Temperature Change

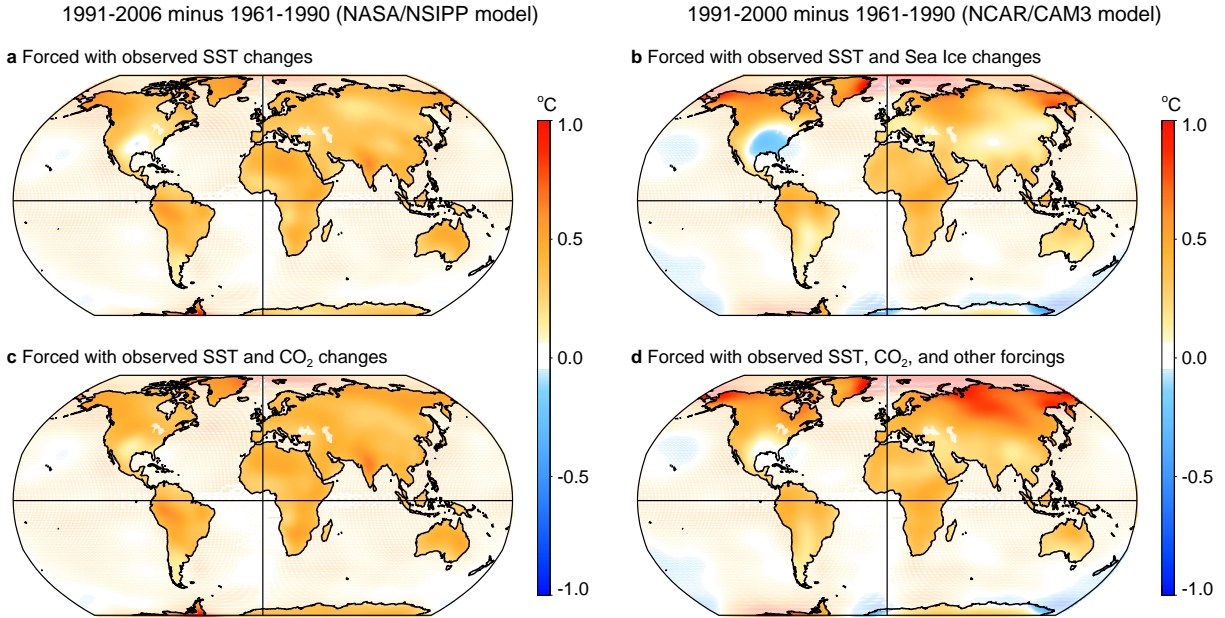


Figure 3. Simulated mean change in surface temperature, comparing runs with only prescribed SSTs to those with additional natural and anthropogenic forcings. (a,c) as in Fig. 1c using (a) the 14 NASA/NSIPP low-resolution simulations forced by observed SSTs and (c) the 8 simulations forced additionally with time-varying CO₂. (b,d) Mean change in the 1991-2000 average minus the 1961-1990 average using 10 NCAR/CAM3 simulations with prescribed (b) observed SSTs and sea ice, and (d) additional anthropogenic and natural forcings. Coloring and smoothing are as in Fig. 1.